The Wealth Alliance, LLC

Client Relationship Summary — July 08, 2026

The Wealth Alliance, LLC ("we," "us," or "our firm") is registered with the Securities and Exchange Commission ("SEC") as an investment adviser. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. You can find free and simple tools to research firms and financial professionals at Investor.gov/CRS which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?	We offer investment advisory services to retail investors, including ongoing investment management and financial planning. We provide investment management services through a wrap fee program, where advisory services and certain trading, custodial, and administrative costs are bundled into a single fee. We provide advice for individual, joint, retirement, trust, and estate accounts. Portfolios generally include mutual funds, exchange traded funds (ETFs), stocks, bonds, independent investment managers, and alternative investments. We do not offer or recommend proprietary products. We also offer financial planning, consulting, advice-only, and limited non-managed advisory services, where you remain responsible for implementing recommendations. We monitor managed portfolios on an ongoing basis and generally meet with clients at least annually. We manage accounts on a discretionary basis, meaning we make investment decisions on your behalf consistent with your objectives without requiring approval for each transaction. We also provide non-discretionary advisory services where agreed in writing, meaning we make recommendations and you decide whether to implement them. Financial planning may address retirement planning, cash flow, tax considerations, estate planning coordination, education funding, and other planning topics, depending on the scope of the engagement. Our advisory relationship continues until either party terminates it in writing. We do not require a minimum account size to begin or maintain an advisory relationship. For additional information, please see our Form ADV Part 2A brochure (Items 4, 7, 13, and 16).
	Conversation Starters – You may want to ask your financial professional: • Given my financial situation, should I choose an investment advisory service? Why or why not? • How will you choose investments to recommend to me? • What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?
What fees will I pay?	For investment management, we generally charge an annual asset-based advisory fee ranging from 0.25% to 1.75%, billed quarterly in advance based on the value of assets we manage for you as of the prior quarter-end. In our wrap fee program, certain trading, custodial, and administrative costs are bundled into that fee. Because fees increase as your assets grow, we have an incentive for you to place additional assets under our management. Financial planning may be billed hourly, up to $500 per hour, or as a fixed project fee; fees are negotiable, estimated before services begin, and may be billed up to 50% upfront with the balance due upon delivery. You may also pay third-party costs, including custodial charges, transaction-level fees, independent manager fees, and internal expenses of funds, ETFs, and alternative investments. **You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For additional information, please see our Form ADV, Part 2A brochure (Item 5) and other information we have provided to you.**
	Conversation Starters – You may want to ask your financial professional: • Help me understand how these fees and costs might affect my investments. If I give you $10,000, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?	***When we act as your investment adviser,*** we must act in your best interest and not put our interests ahead of yours. However, the way we make money creates some conflicts with your interests. Some of our financial professionals are registered representatives of Purshe Kaplan Sterling Investments, Inc. ("PKS") or licensed insurance agents and may receive commissions in those separate capacities, creating an incentive to recommend brokerage or insurance products. Our firm primarily makes money from advisory fees paid by clients. Our wrap fee program creates an incentive to limit trading, select investments with lower transaction-related costs, or keep you in the program even when a non-wrap arrangement may cost less. We also have a conflict when recommending rollovers because we may earn advisory fees on assets you roll over.	
	Conversation Starters – You may want to ask your financial professional: • How might your conflicts of interest affect me, and how will you address them?	
	For additional information, please see our Form ADV, Part 2A brochure (including Items 5, 10, 11, 12, and 14), and other information we have provided to you.	
How do your financial professionals make money?	Financial professionals are compensated through an agreed-upon annual salary and an annual discretionary bonus. Some of our financial professionals are compensated based on the revenue generated from advisory services, measured by the amount of client assets they serve. This structure creates an incentive to increase assets under management or solicit new business, which may take time away from the day-to-day client services.	
Do you or your financial professionals have legal or disciplinary history?	No. Neither our firm nor our financial professionals have any reportable disciplinary history. Visit Investor.gov/CRS | Investor.gov for free and simple tools to research us and our financial professionals.	
	Conversation Starters – You may want to ask your financial professional: • As a financial professional, do you have any disciplinary history? For what type of conduct?	
Additional Information	**For additional information about our advisory services, please review our Form ADV Part 2A brochure at www.adviserinfo.sec.gov. You may also visit our website at https://thewealthalliance.com/ or contact us at compliance@thewealthalliance.com to request a current copy of this relationship summary. You may also call us at (631) 670-0682.**	
	Conversation Starters – You may want to ask your financial professional: • Who is my primary contact person? Are they a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?	